



厦门大学信息学院 本科选修课

2021-2022 第二学期

模式识别

Pattern Recognition

主讲：王程



第八章

卷积神经网络 (CNN)

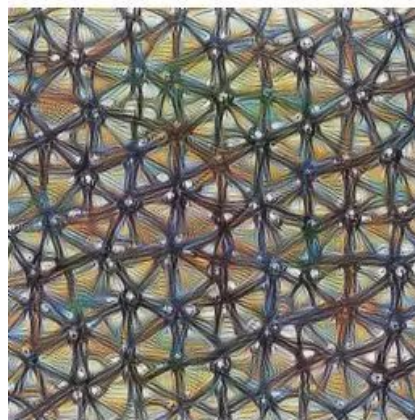
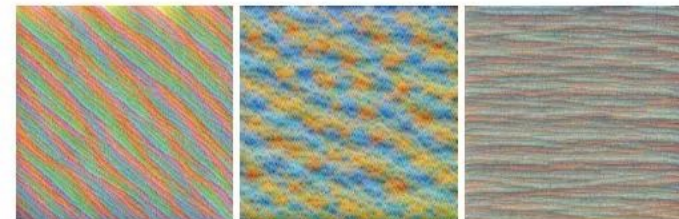
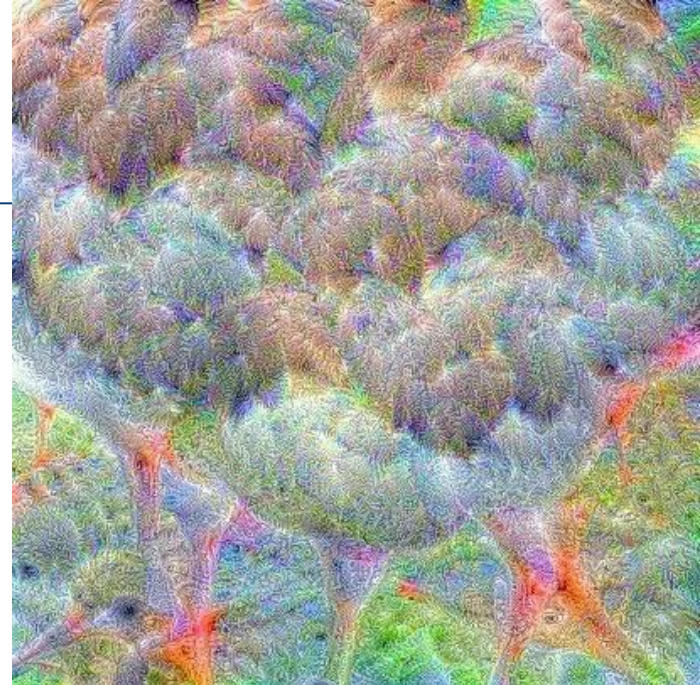
陈龙彪

longbiaochen@xmu.edu.cn

厦门大学信息学院



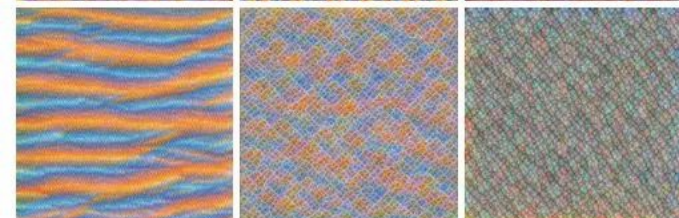
mixed3a, channel 31



mixed4a, channel 11



mixed5a, channel 14



内容回顾

□ 在线开放课程主页：

- <https://longbiaochen.com/courses/steel/>

□ 翻转课堂学习模式

- 课前：线上预习
- 课堂：
 - 回顾总结
 - 知识点扩展
 - 应用展示：CNN小程序讨论
 - 启发与反思

课程大纲

Lecture 1

- 讲座：时空大数据概述
- 实验：Python基础（一）

已学

Lecture 2

- 讲座：深度学习概述
- 实验：Python基础（二）

Lecture 3

- 讲座：深度神经网络（DNN）
- 实验：基于Python的DNN构建及应用

Lecture 4

自学

- 讲座：卷积神经网络（CNN）
- 实验：基于Python的CNN构建及应用

深度学习 \approx 寻找一个好函数

- 语音识别

$$f(\text{语音波形}) = \text{"How are you"}$$

- 图片识别

$$f(\text{猫咪照片}) = \text{"Cat"}$$

- 下围棋

$$f(\text{围棋棋盘}) = \text{"5-5" (下一步棋的结果)}$$

- 对话系统

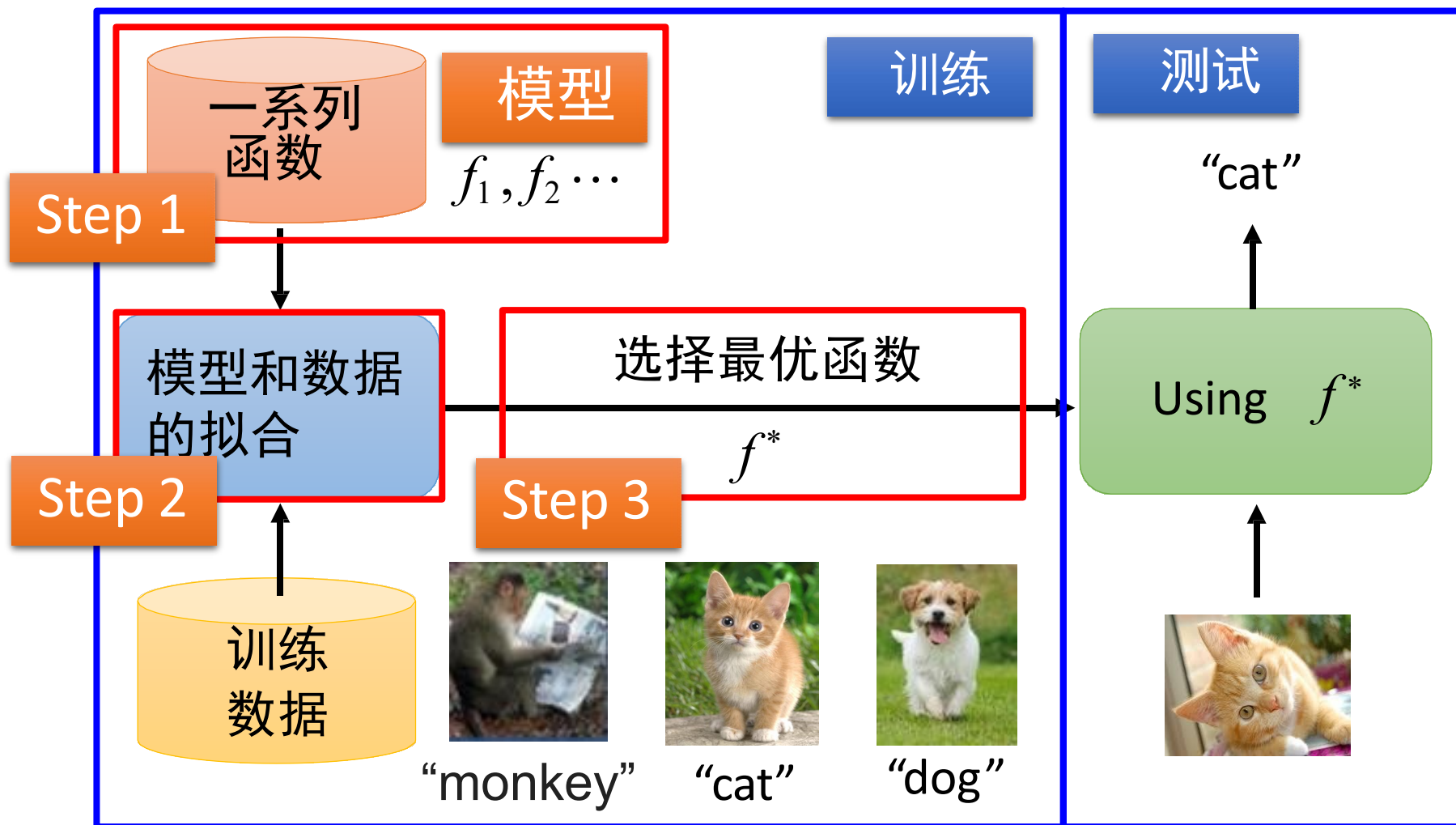
$$f(\text{"导航回家"}) = \text{"你说啥?"}$$

(你所说的内容) (系统的回答)

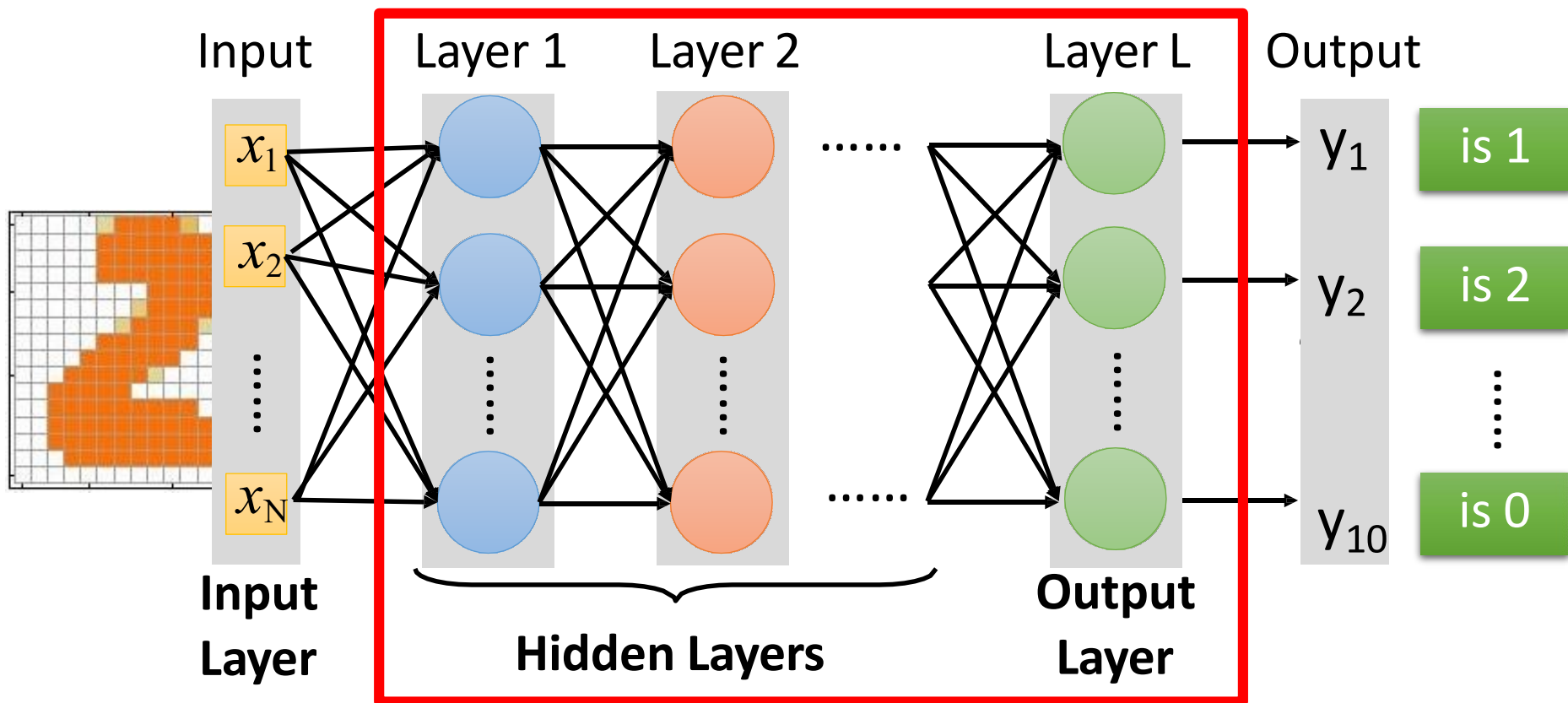
深度学习框架

图像识别:

$$f(\text{image of a cat}) = \text{"cat"}$$

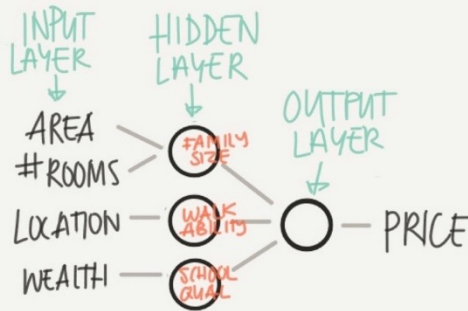


深度神经网络结构

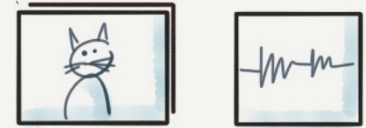
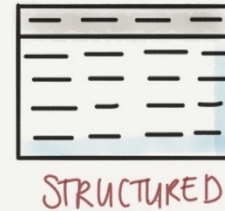


你需要决定网络结构，让你的函数有好的性能

INTRO TO DEEP LEARNING



NNs CAN DEAL WITH BOTH STRUCTURED & UNSTRUCTURED DATA



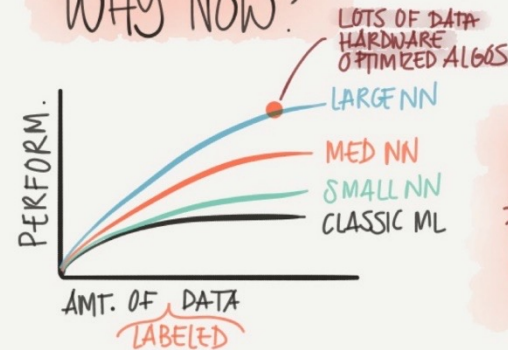
"THE QUICK BROWN FOX"
UNSTRUCTURED

HUMANS ARE GOOD AT THIS

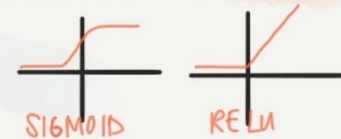
SUPERVISED LEARNING

INPUT: X	OUTPUT: Y	NN TYPE
HOME FEATURES AD+ USER INFO	PRICE WILL CLICK ON AD (0/1)	STANDARD NN
IMAGE	OBJECT (1...1000)	CONV. NN (CNN)
AUDIO ENGLISH	TEXT TRANSCRIPT CHINESE	RECURRENT NN (RNN)
IMAGE/RADAR	POS OF OTHER CARS	CUSTOM/HYBRID

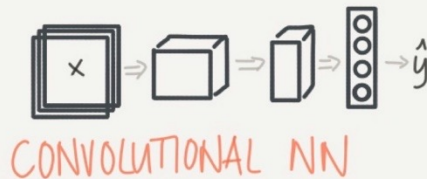
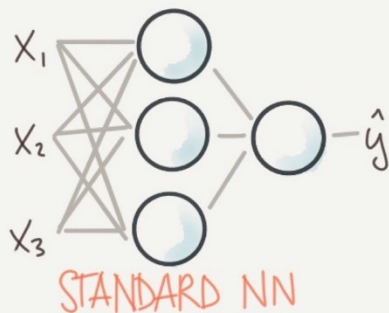
WHY NOW?



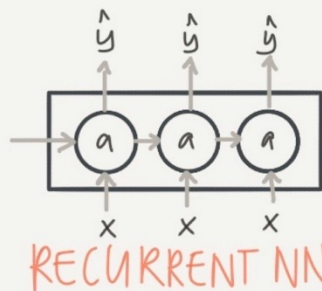
ONE OF THE BIG BREAKTHROUGHS HAS BEEN MOVING FROM SIGMOID TO RELU FOR FASTER GRADIENT DESCENT



FASTER COMPUTATION IS IMPORTANT TO SPEED UP THE ITERATIVE PROCESS

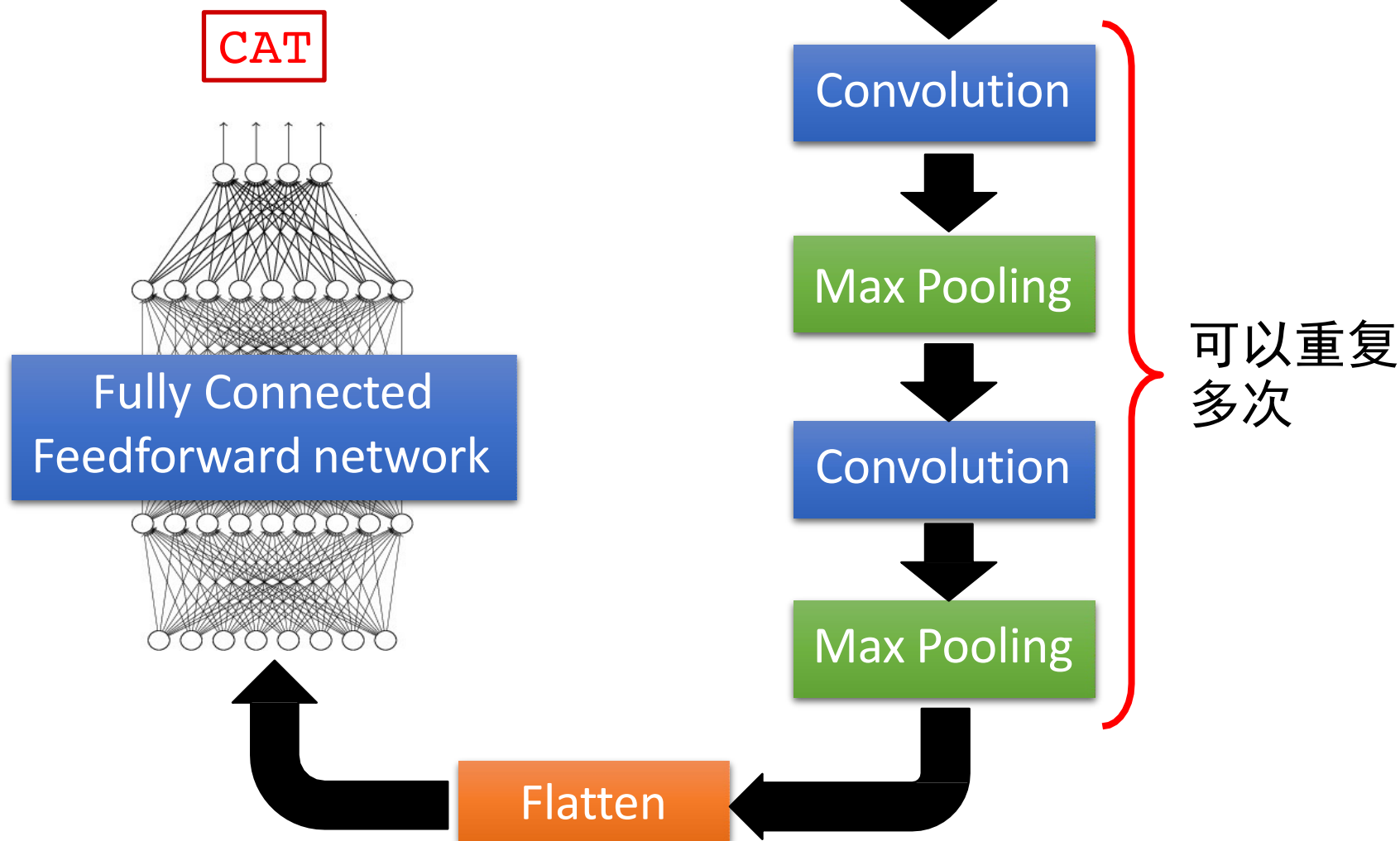


NETWORK ARCHITECTURES



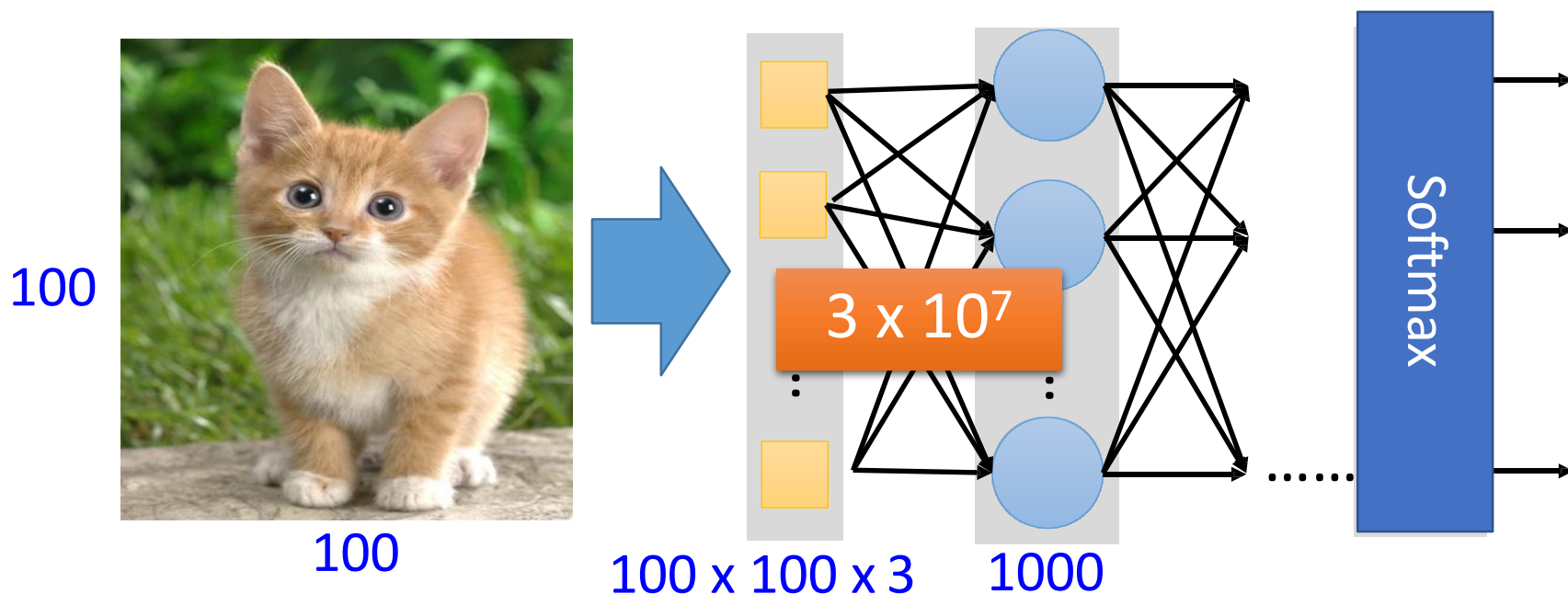
你问我答
重点难点知识点扩展

CNN网络结构



为什么CNN可以用于图像识别?

- 处理图像时，全连接网络的输入层会**非常大**



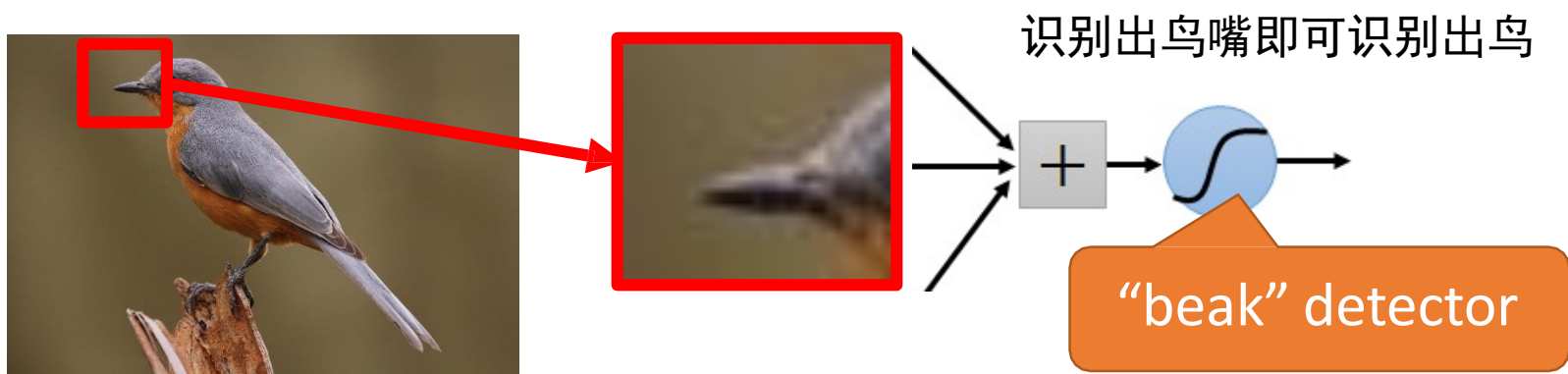
可以通过考虑图像识别的性质来简化全连接网络吗?

为什么CNN 用于图像识别?

1. 一些模式比整张图片小得多

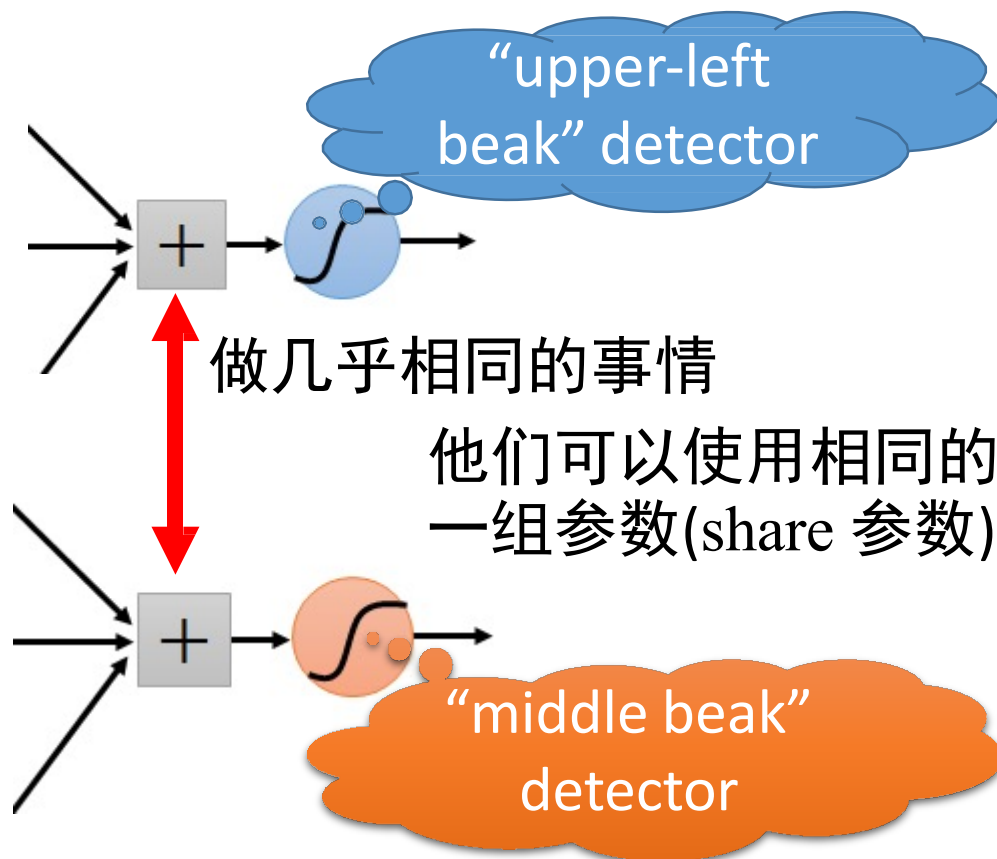
一个神经元不需要看到整个图像去发现模式

通过较少的参数连接到小区域



为什么CNN 用于图像识别?

2. 同样的模式可能出现在图像的不同区域



为什么CNN 用于图像识别?

3. 对图像二次采样不会改变对物体的认知

- 只要不是太过分（电子包浆）



二次采样



我们可以对图像进行二次采样以使图像变小

➡ 更少的参数来处理图像

CNN网络结构

属性 1

- 一些模式比整张图片小得多

属性 2

- 同样的模式可能出现在图像的不同区域

属性 3

- 对图像的二次采样不会改变图像中的物体



Convolution

Max Pooling

Convolution

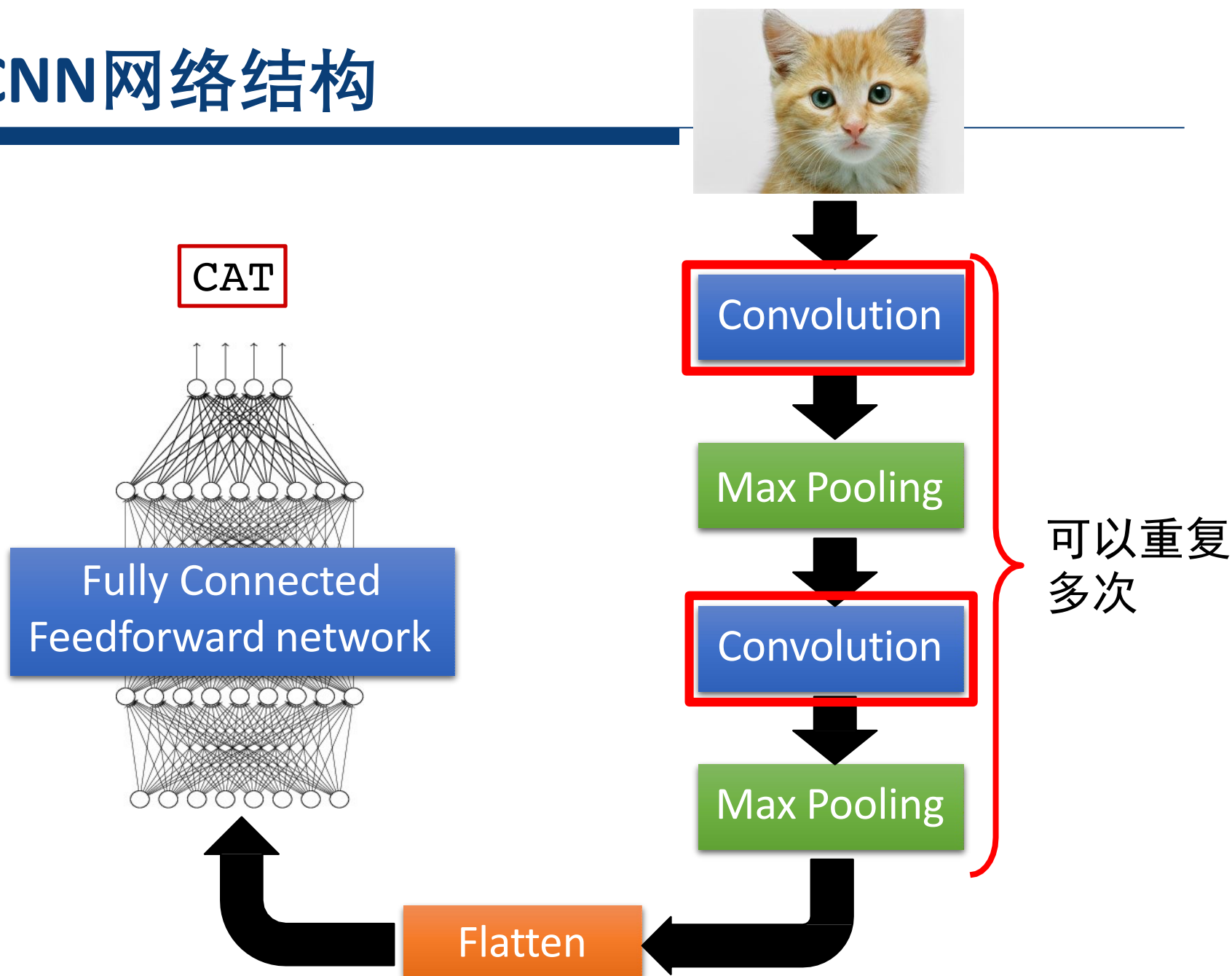
Max Pooling

Flatten

可以重复多次



CNN网络结构



CNN – Convolution

□ Kernel: 卷积核

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

注意：这些kernel都是要学习的参数！

1	-1	-1
-1	1	-1
-1	-1	1

Kernel 1
矩阵

-1	1	-1
-1	1	-1
-1	1	-1

Kernel 2
矩阵

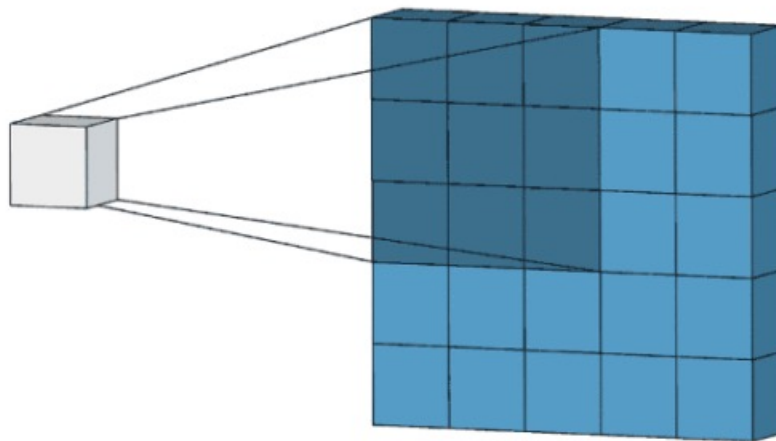
⋮

每个 kernel 探测一个小的模式(3 x 3)

属性一：一些模式比整张图片小得多

CNN – Convolution

- **卷积**是一个相当简单的操作：先从一个小小的权重矩阵，也就是卷积核（kernel）开始，让它逐步在二维输入数据上“扫描”。卷积核“滑动”的同时，计算权重矩阵和扫描所得的数据矩阵的乘积，然后把结果汇总成一个输出像素



CNN – Convolution

- 输出的特征是原输入特征的加权和
- 权重是卷积核自带的值
- 像素位置所处的地方大致相同

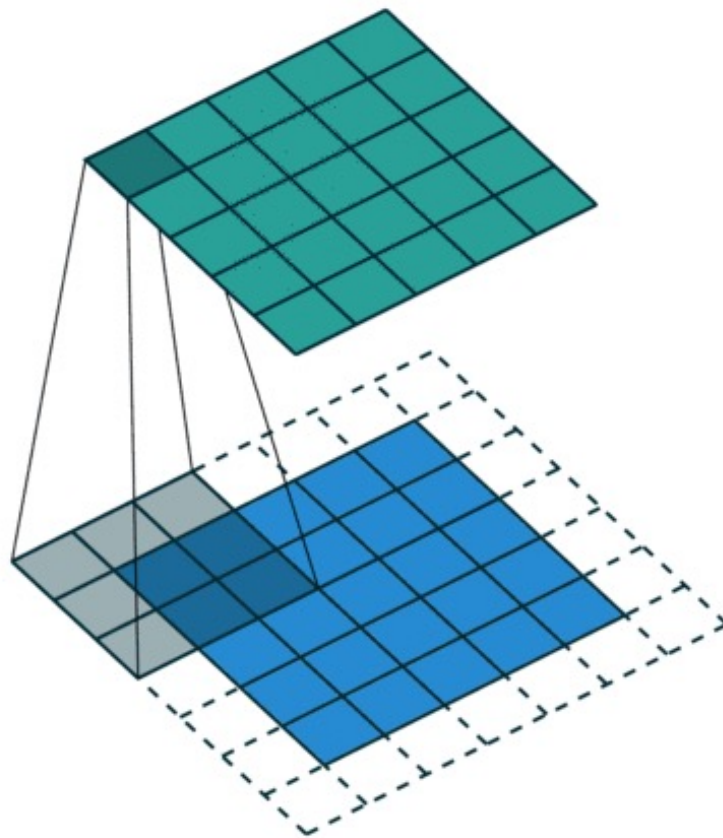
3_0	3_1	2_2	1	0
0_2	0_2	1_0	3	1
3_0	1_1	2_2	2	3
2	0	0	2	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

CNN – Convolution

□ Padding: 填充

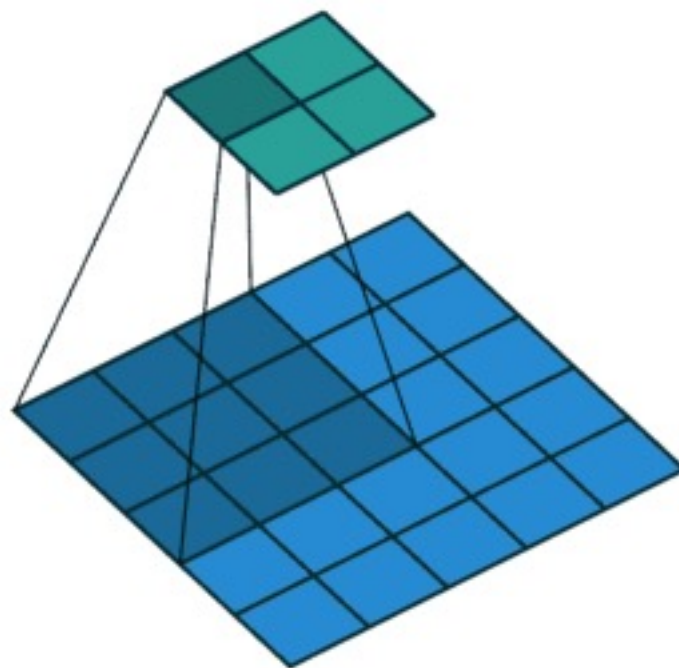
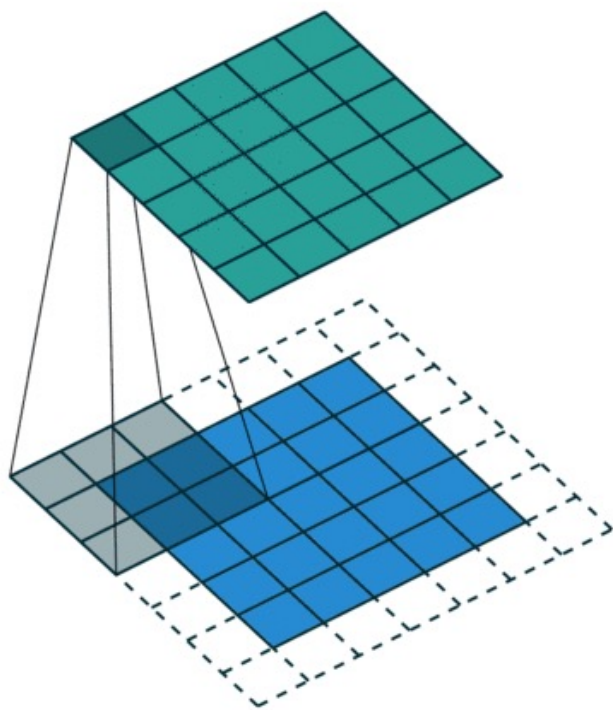
- 用额外的“假”像素填充边缘（值一般为0）



CNN – Convolution

□ Stride: 步长

- 每次滑动的行数和列数称为Stride



CNN – Convolution

步长=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Kernel

-3	-1	-3	-1
-3	-1	0	-3
-3	-3	0	1
-3	-2	-2	-1

属性二：同样的模式可能出现在图像的不同区域

CNN – Convolution

对于每一个 kernel 重复卷积操作

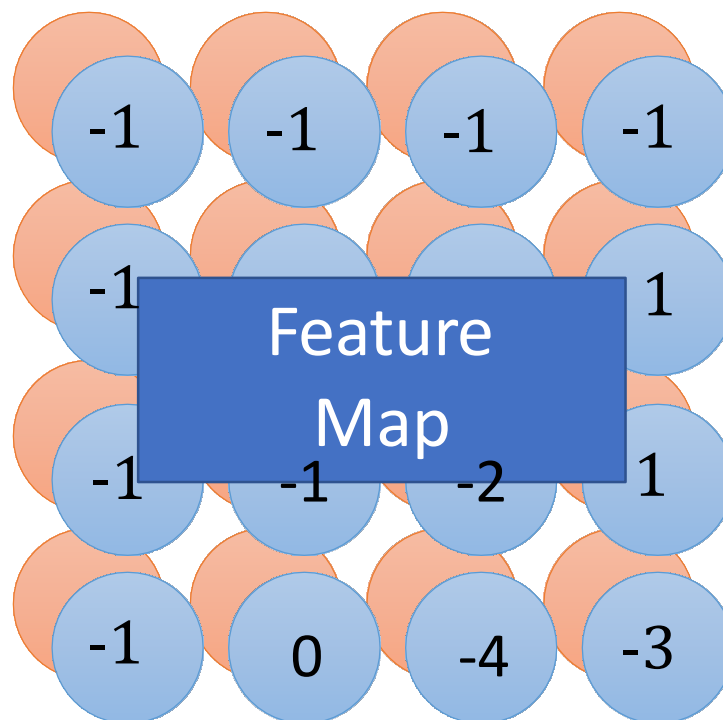
-1	1	-1
-1	1	-1
-1	1	-1

Kernel 2

步长=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

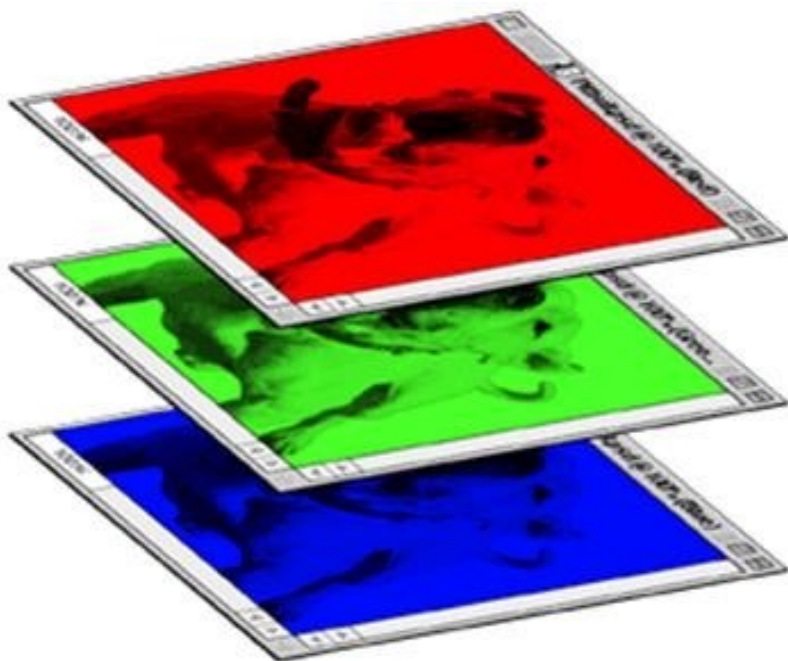


4 x 4 image * 2

CNN – Convolution

□ 彩色图像

三通道



1	-1	-1
-1	1	-1
-1	-1	1

Kernel 1

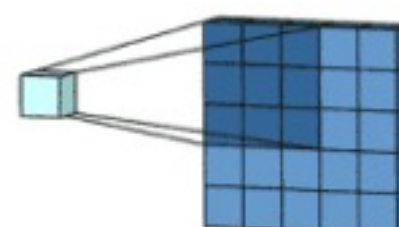
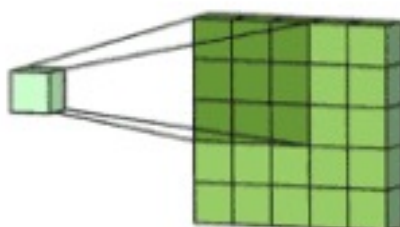
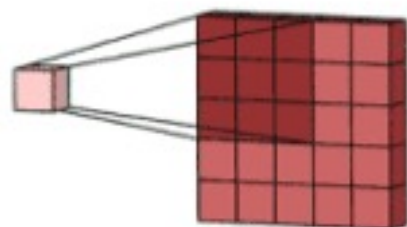
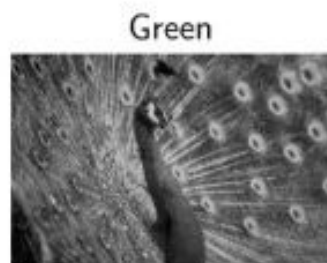
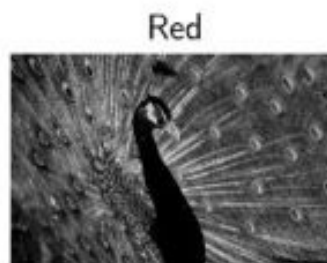
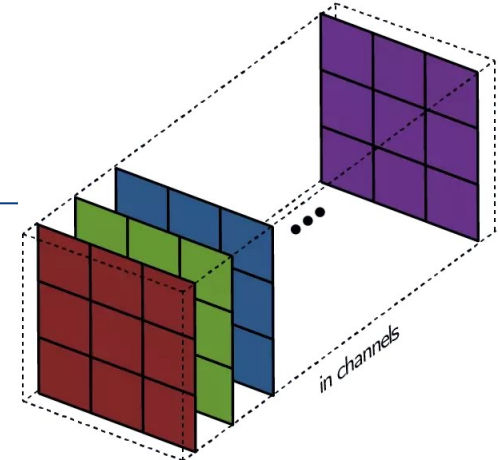
-1	1	-1
-1	1	-1
-1	1	-1

Kernel 2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

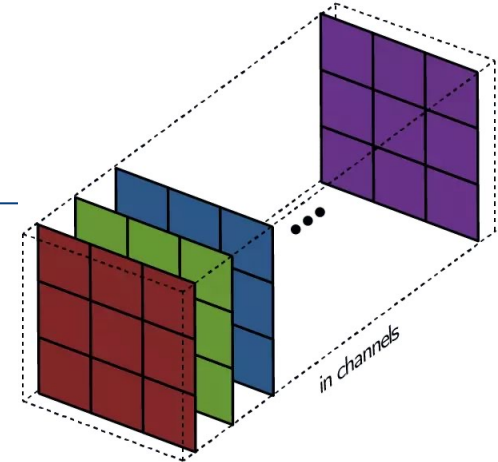
CNN – Convolution

□ Channel: 通道



CNN – 彩色图像

□ Channel: 通道



Red



Green



Blue



CNN – Convolution

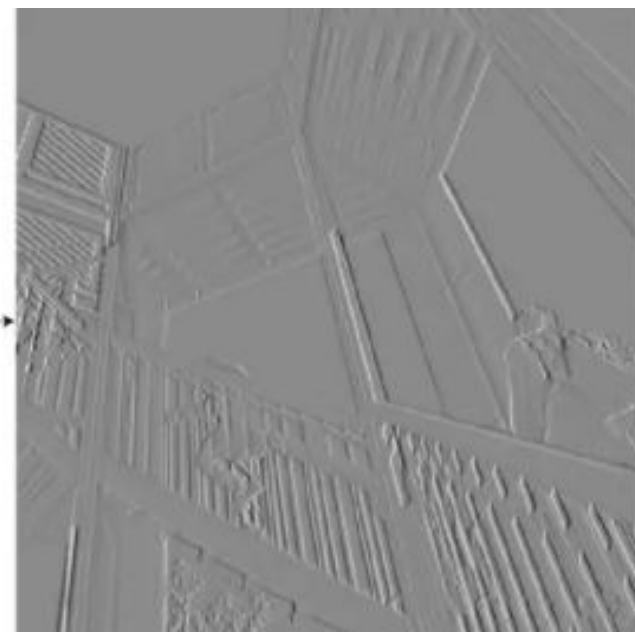
□ 卷积核的例子

- 边缘检测核：Sobel edge detection

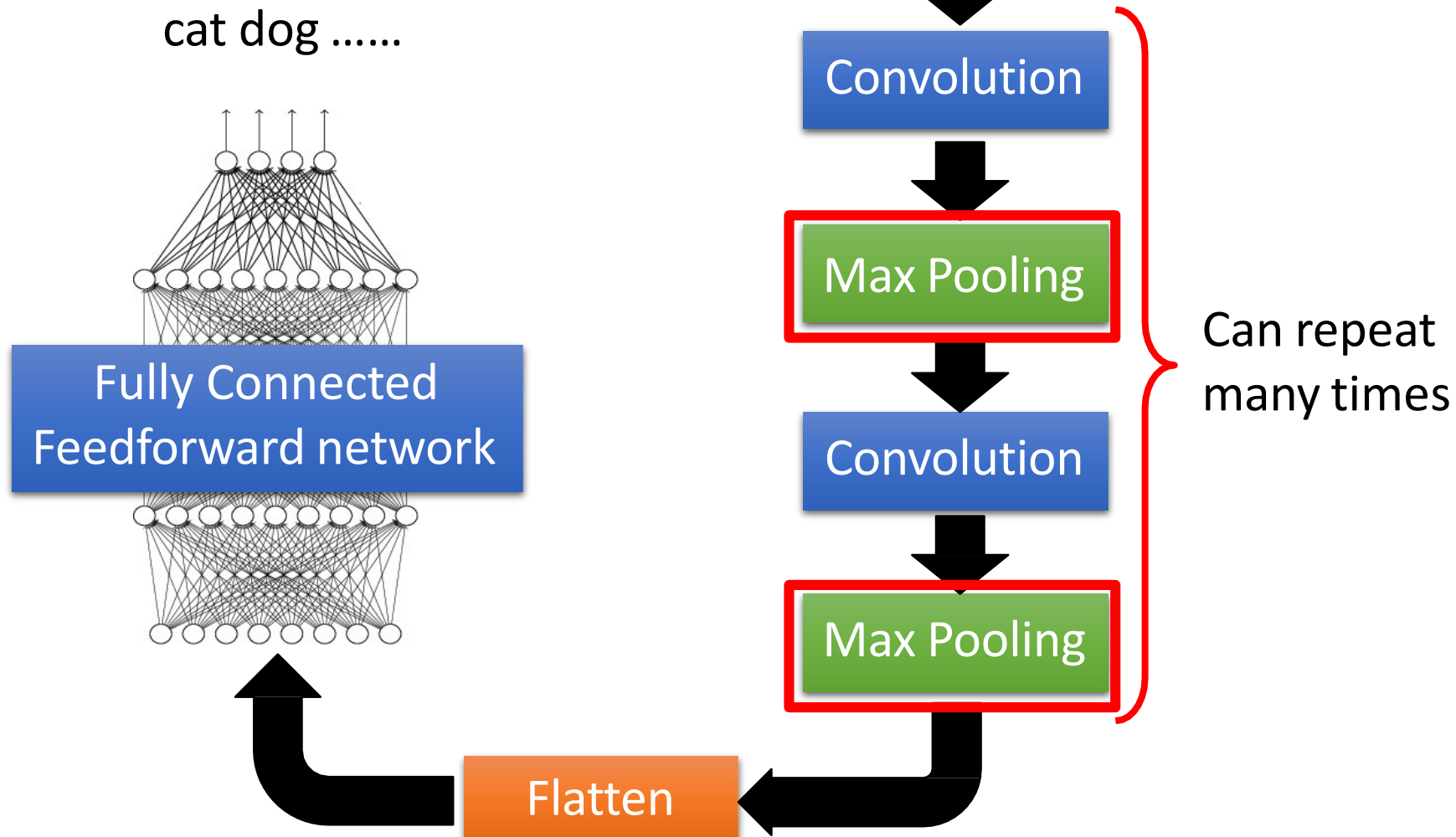


$$\begin{bmatrix} +1 & 0 & -1 \\ +2 & 0 & -2 \\ +1 & 0 & -1 \end{bmatrix}$$

Horizontal Sobel kernel



The whole CNN



CNN – Max Pooling

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2

3	-1	-3	-1
-3	1	0	-3

-3	-3	0	1
3	-2	-2	-1

4 x 4 feature map

-1	-1	-1	-1
-1	-1	-2	1

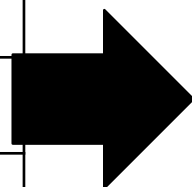
-1	-1	-2	1
-1	0	-4	3

4 x 4 feature map

CNN网络结构

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

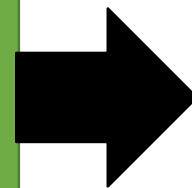
6 x 6 image



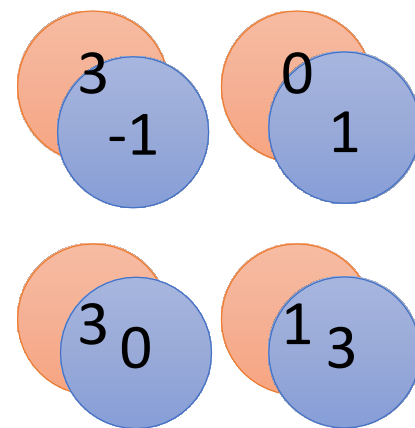
Conv



Max
Pooling

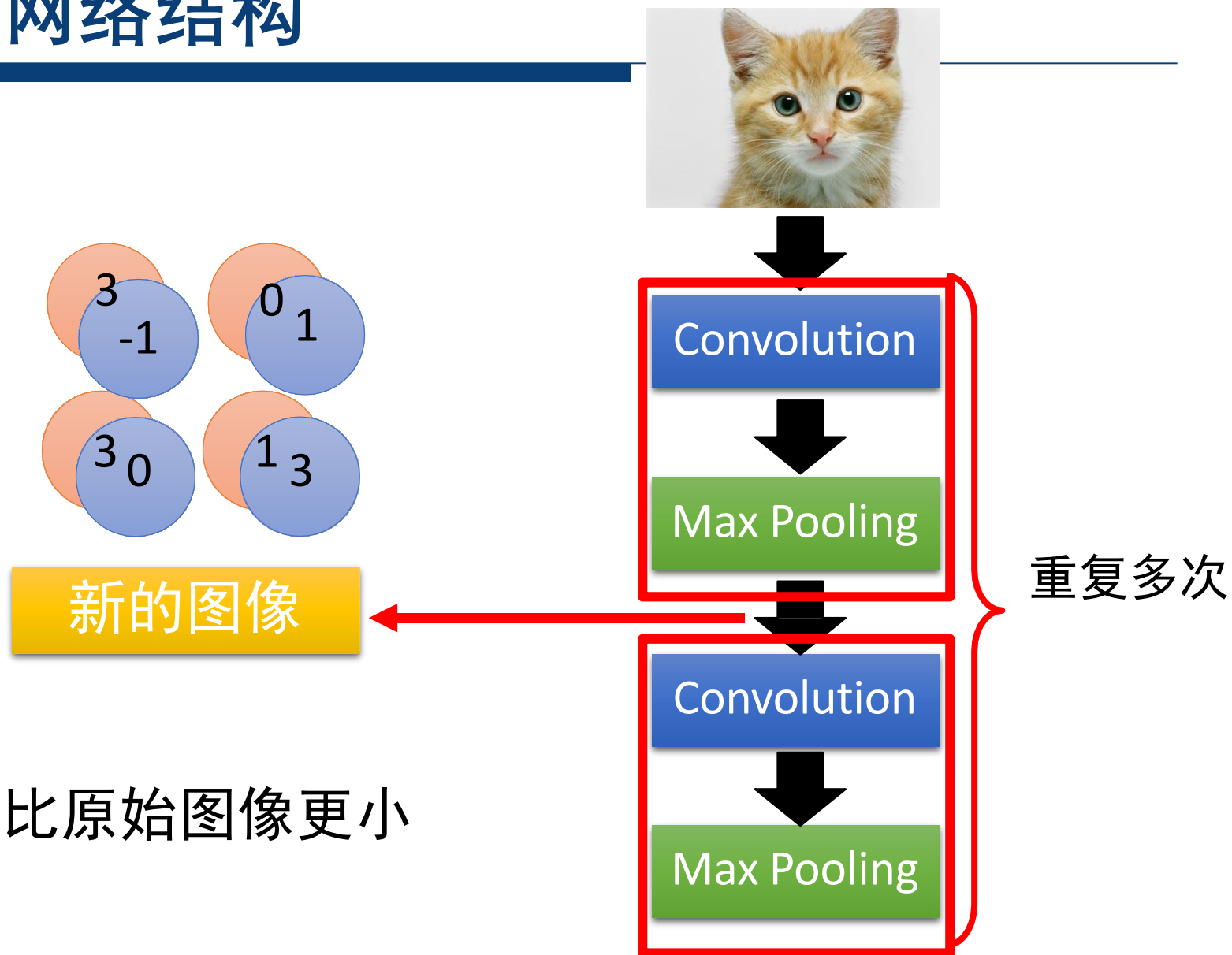


New image
but smaller

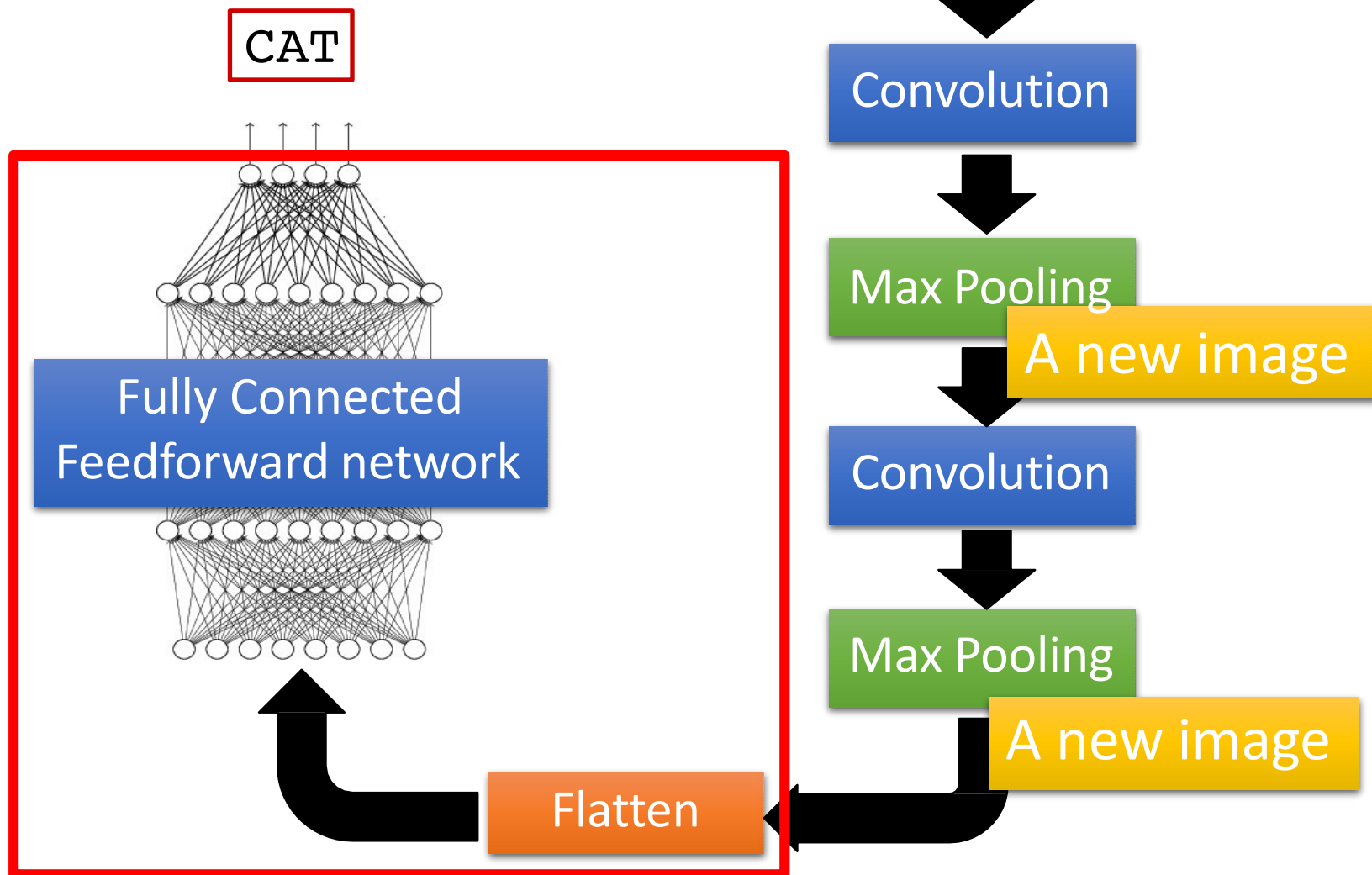


2 x 2 image

CNN网络结构

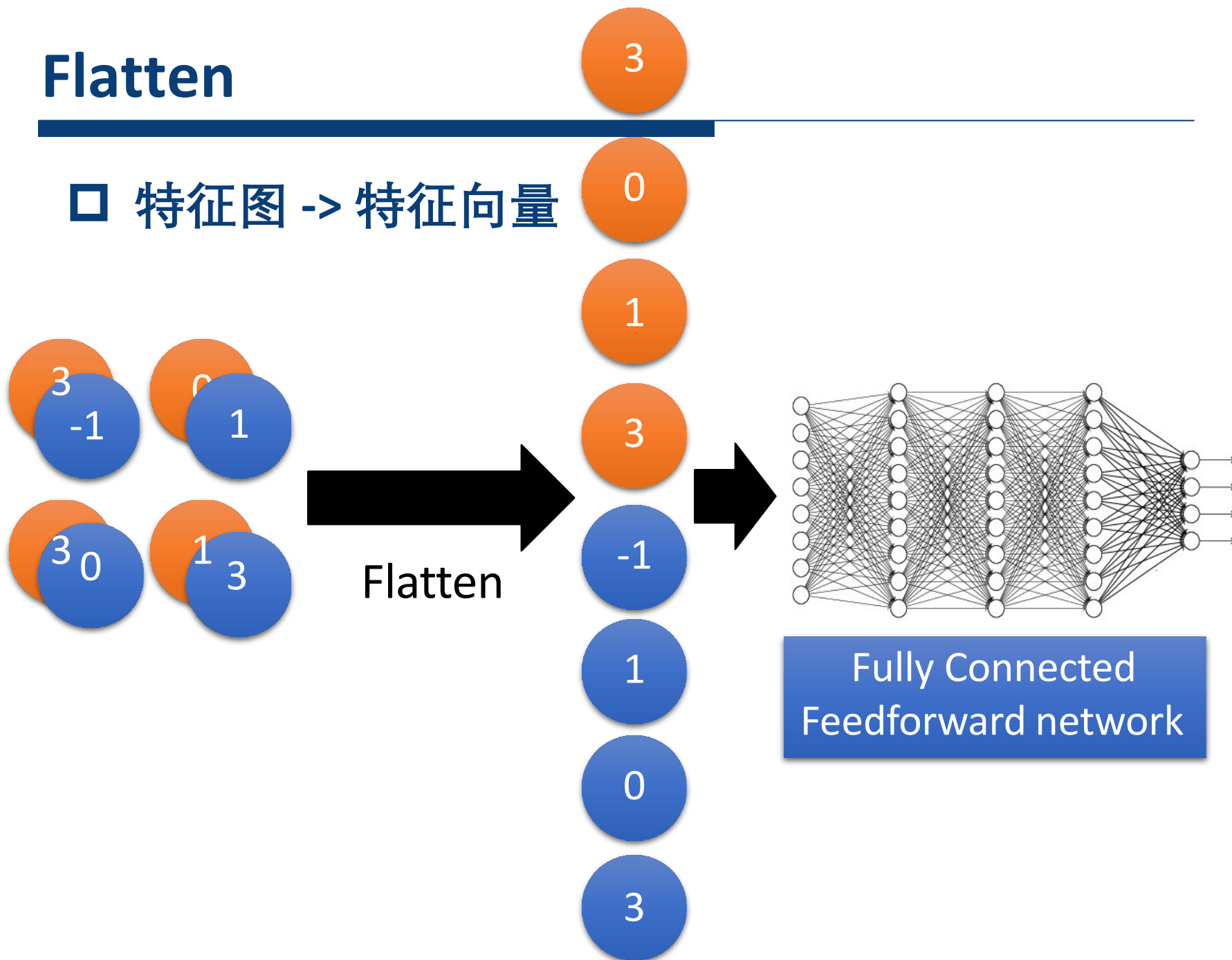


CNN网络结构



Flatten

□ 特征图 -> 特征向量





End

